

***Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571***

Telephone number: 507-312-3890 email: Knives326@gmail.com

Activity Date	Process Date	Settle Date	Instrument	Description	Trans Code	Quantity	Price	Amount
1/23/2024	1/23/2024	1/25/2024	MMAT	Meta Materials CUSIP: 59134N	Sell	600	\$0.06	\$35.78
7/5/2023	7/5/2023	7/7/2023	MMAT	Meta Materials CUSIP: 59134N	Buy	600	\$0.21	-\$123.54
4/14/2022	4/14/2022	4/19/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	0.088719	\$1.52	\$0.13
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	125	\$1.54	\$192.48
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	2000	\$1.54	\$3,079.72
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	694	\$1.54	\$1,068.66
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$1.54	\$153.99
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$1.54	\$153.99
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$1.54	\$153.99
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	800	\$1.54	\$1,231.89
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	600	\$1.54	\$923.91
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	1000	\$1.54	\$1,539.86
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	694	\$1.54	\$1,068.66
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$1.54	\$153.99
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$1.54	\$153.99
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	55	\$1.54	\$84.69
4/13/2022	4/13/2022	4/18/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	70	\$1.54	\$107.79

4/11/2022	4/11/2022	4/13/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	6537.71167	\$1.53	-\$9,999.43
4/11/2022	4/11/2022	4/13/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	0.377049	\$1.52	-\$0.57
4/7/2022	4/7/2022	4/11/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	3312	\$1.52	\$5,036.10
4/7/2022	4/7/2022	4/11/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	0.494436	\$1.52	\$0.75
4/7/2022	4/7/2022	4/11/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	0.259136	\$1.50	-\$0.39
4/7/2022	4/7/2022	4/11/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	3311.877318	\$1.51	-\$4,999.61
3/1/2022	3/1/2022	3/3/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	1442	\$2.18	\$3,143.37
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$2.08	-\$208.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	21.528846	\$2.08	-\$44.78
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	200	\$2.08	-\$416.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	145	\$2.08	-\$301.60
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	75	\$2.08	-\$156.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$2.08	-\$208.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	200	\$2.08	-\$416.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$2.08	-\$208.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	300	\$2.08	-\$624.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$2.08	-\$208.00
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$2.08	-\$208.00

2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	0.783132	\$2.08	-\$1.62
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$2.04	\$203.49
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	37	\$2.04	\$75.30
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	500	\$2.04	\$1,017.43
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	400	\$2.04	\$813.94
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$2.04	\$203.49
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	300	\$2.04	\$610.45
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	400	\$2.04	\$813.94
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	100	\$2.03	\$202.99
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	0.046004	\$2.06	-\$0.09
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	1937	\$2.06	-\$3,999.91
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	3880.707224	\$2.07	\$8,034.88
2/28/2022	2/28/2022	3/2/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	980.392156	\$2.04	-\$2,000.00
1/14/2022	1/14/2022	1/19/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	3571	\$2.11	\$7,534.35
1/14/2022	1/14/2022	1/19/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	3278	\$2.11	\$6,916.15
1/13/2022	1/13/2022	1/18/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	6849.315068	\$2.19	-\$15,000.00
1/11/2022	1/11/2022	1/13/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	0.902131	\$2.34	\$2.12
1/11/2022	1/11/2022	1/13/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	5912	\$2.34	\$13,839.21



1/10/2022	1/10/2022	1/12/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	8812.880056	\$2.27	-\$19,999.95
1/10/2022	1/10/2022	1/12/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	0.022075	\$2.26	-\$0.05
1/6/2022	1/6/2022	1/10/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	7843	\$2.52	\$19,793.12
1/6/2022	1/6/2022	1/10/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	0.137254	\$2.52	\$0.35
1/6/2022	1/6/2022	1/10/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	7843.137254	\$2.55	-\$20,000.00
1/6/2022	1/6/2022	1/10/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	0.74118	\$2.54	\$1.89
1/6/2022	1/6/2022	1/10/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	7753	\$2.54	\$19,691.59
1/6/2022	1/6/2022	1/10/2022	MMAT	Meta Materials CUSIP: 59134N	Buy	7753.74118	\$2.58	-\$20,000.00
1/5/2022	1/5/2022	1/7/2022	MMAT	Meta Materials CUSIP: 59134N	Sell	8000.960981	\$2.55	\$20,434.99
12/23/2021	12/23/2021	12/28/2021	MMAT	Meta Materials CUSIP: 59134N	Sell	773	\$2.60	\$2,011.08
12/21/2021	12/21/2021	12/23/2021	MMAT	Meta Materials CUSIP: 59134N	Sell	225.974025	\$2.69	\$608.42
12/21/2021	12/21/2021	12/23/2021	MMAT	Meta Materials CUSIP: 59134N	Sell	0.200549	\$2.69	\$0.54
12/14/2021	12/14/2021	12/16/2021	MMAT	Meta Materials CUSIP: 59134N	Sell	673	\$2.95	\$1,986.26
12/14/2021	12/14/2021	12/16/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	671.232876	\$2.98	-\$1,999.20
12/14/2021	12/14/2021	12/16/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	0.268907	\$2.98	-\$0.80
12/2/2021	12/2/2021	12/6/2021	MMAT	Meta Materials CUSIP: 59134N	Sell	574	\$3.46	\$1,986.52
12/2/2021	12/2/2021	12/6/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	560.372531	\$3.48	-\$1,949.48
12/2/2021	12/2/2021	12/6/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	14.539568	\$3.48	-\$50.52

12/1/2021	12/1/2021	12/3/2021	MMAT	Meta Materials CUSIP: 59134N	Sell	565	\$3.52	\$1,988.71
12/1/2021	12/1/2021	12/3/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	0.770862	\$3.54	-\$2.72
12/1/2021	12/1/2021	12/3/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	564.77774	\$3.54	-\$1,997.28
11/29/2021	11/29/2021	12/1/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	514	\$3.80	-\$1,953.20
11/29/2021	11/29/2021	12/1/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	274	\$3.80	-\$1,041.20
11/29/2021	11/29/2021	12/1/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	90	\$3.80	-\$342.00
11/29/2021	11/29/2021	12/1/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	25	\$3.80	-\$95.00
11/29/2021	11/29/2021	12/1/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	97	\$3.80	-\$368.60
11/29/2021	11/29/2021	12/1/2021	MMAT	Meta Materials CUSIP: 59134N	Sell	550	\$3.82	\$2,103.66
11/29/2021	11/29/2021	12/1/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	550	\$3.82	-\$2,103.75
9/9/2021	9/9/2021	9/13/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$4.92	-\$492.50
9/7/2021	9/7/2021	9/9/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	8	\$5.18	-\$41.48
9/7/2021	9/7/2021	9/9/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	92	\$5.18	-\$477.02
9/3/2021	9/3/2021	9/8/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$5.71	-\$570.75
8/27/2021	8/27/2021	8/31/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$4.03	-\$402.76
8/27/2021	8/27/2021	8/31/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$3.79	-\$378.81
8/24/2021	8/24/2021	8/26/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	6	\$3.50	-\$20.97
8/24/2021	8/24/2021	8/26/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	100	\$3.50	-\$349.79

8/20/2021	8/20/2021	8/24/2021	MMAT	Meta Materials CUSIP: 59134N 10 MMAT Option	Buy	1000	\$3.00	-\$3,000.00
8/18/2021	8/18/2021	8/20/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1	\$2.86	-\$2.86
8/18/2021	8/18/2021	8/20/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1	\$2.86	-\$2.86
8/18/2021	8/18/2021	8/20/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1	\$2.86	-\$2.86
8/18/2021	8/18/2021	8/20/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1	\$2.86	-\$2.86
8/18/2021	8/18/2021	8/20/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1	\$2.87	-\$2.87
8/18/2021	8/18/2021	8/20/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1	\$2.87	-\$2.87
8/18/2021	8/18/2021	8/20/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1	\$2.86	-\$2.86
8/10/2021	8/10/2021	8/12/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	0.125864	\$3.62	-\$0.45
8/10/2021	8/10/2021	8/12/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	1381.58731	\$3.62	-\$4,999.55
8/3/2021	8/3/2021	8/5/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	3000	\$3.25	-\$9,750.00
6/29/2021	6/29/2021	7/1/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	283.687943	\$7.05	-\$2,000.00
6/28/2021	6/28/2021	6/30/2021	MMAT	Meta Materials CUSIP: 59134N	Buy	119.771954	\$8.35	-\$1,000.00

Total in Purchases	-\$134,531.41
Total in Sells	\$129,158.61

<b>Total Loss</b>	<b>-\$5,372.80</b>
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85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

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01/01/2024 to 01/31/

**SHANE COLBERT** Account #:83514  
10150 City Walk Dr, 431w, Woodbury, MN 5

■ Options

■ Equities

■ Cash and Cash Equivalents

**Account Summary**

Opening Balance

Closing Balance

Net Account Balance

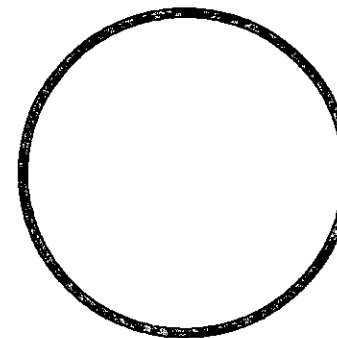
\$2.24

\$38.02

Total Securities

\$39.60

\$0.00

**Portfolio Value****\$41.84****\$38.02****Portfolio Allocation**

- Cash and Cash Equivalents 100.00%

- Equities 0.00%

- Options 0.00%

**Income and Expense Summary**

This Period

Year to Date

Dividends

\$0.00

\$0.00

Capital Gains Distributions

\$0.00

\$0.00

Interest Earned

\$0.00

\$0.00

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF").

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).



**Portfolio Summary**

Securities Held In Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Next Bridge Hydrocarbons Contra Estimated Yield: 0.00%	MMTZZZ	Cash	3,014	\$0.00	\$0.00	\$0.00	0.00%
<b>Total Securities</b>					<b>\$0.00</b>	<b>\$0.00</b>	<b>0.00%</b>
<b>Brokerage Cash Balance</b>					<b>\$38.02</b>		<b>100.00%</b>
<b>Total Priced Portfolio</b>					<b>\$38.02</b>		

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Meta Materials CUSIP: 59134N104	MMAT	Cash	Sell	01/23/2024	600	\$0.06		\$35.78
<b>Total Funds Paid and Received</b>							<b>\$0.00</b>	<b>\$35.78</b>

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
<b>Total Executed Trades Pending Settlement</b>							<b>\$0.00</b>	<b>\$0.00</b>

## Important Information

If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to regulatory trading/transaction fees and any other charges incurred in connection with equity or listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

### Notice to Customers

As required, under SEC rules, both the RHF and RHS Order Routing Reports as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, RHS provides securities clearance and may provide order execution based on RHF instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS Internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision with appropriate notice. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email RHF or RHS at [help@robinhood.com](mailto:help@robinhood.com). RHF must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell RHF your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell RHF the dollar amount of the suspected error.

RHF will investigate your complaint and will correct any error promptly. If it takes more than ten (10) business days to do this, RHF will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes RHF to complete our investigation.

Please see the Robinhood Securities, LLC & Robinhood Financial LLC Institutional Investor Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of the sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). It carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions processed to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers' relationships with such venues. In addition, the Rule (SEC Rule 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

All trade confirmations are transmitted on or about the transaction date.

Statement of Financial Condition  
Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2023 is available on the Company's website at [www.robinhood.com/legal](http://www.robinhood.com/legal). A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2023, Robinhood Securities, LLC, had a net capital of \$2,638,629,775, which was \$2,567,658,638 in excess of its required net capital of \$70,971,137.

Please retain this statement as it will be helpful in preparing your income returns and may be needed along with subsequent statements to verify interest charges in your account. This statement shall be deemed conclusive unless objected to in writing within ten (10) days.



**Please Read: Important Account Notices and Updates**

Last month's statement (for activity during the month of December) omitted a disclosure informing customers that the interest rate for Robinhood Gold subscribers enrolled in the boosted promotion for the brokerage cash sweep program was 5.25%. This disclosure appears on this month's statement on Page 1, and will continue to appear until the promotion ends.



85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

02/01/2022 to 02/28/2022

**SHANE COLBERT** Account #:835143926

300 Red Wing Avenue South 111, Red Wing, MN 55066

■ Options

■ Equities

■ Cash and Cash Equivalents

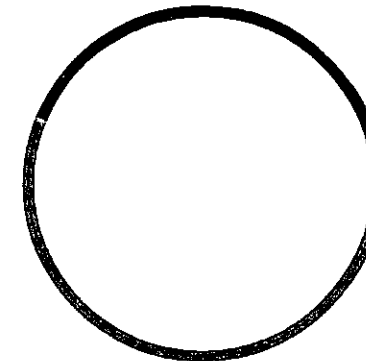
### Account Summary

	Opening Balance	Closing Balance
Net Account Balance	\$13,082.25	\$15,345.34
Total Securities	\$10,707.86	\$12,154.89
<b>Portfolio Value</b>	<b>\$23,790.11</b>	<b>\$27,500.23</b>

### Income and Expense Summary

	This Period	Year to Date
Dividends	\$0.00	\$0.00
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

### Portfolio Allocation



- Cash and Cash Equivalents 55.80%
- Equities 43.94%
- Options 0.26%

**Portfolio Summary**

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
Meta Materials Estimated Yield: 0.00%	MMAT	Margin	2,900.315068	\$2.15	\$6,235.68	\$0.00	22.68%
MMAT Preferred Shares Estimated Yield: 0.00%	MMTLP	Margin	3,014	\$1.94	\$5,847.16	\$0.00	21.26%
Marin Software Estimated Yield: 0.00%	MRIN	Margin	0.01732	\$3.10	\$0.05	\$0.00	0.00%
MMAT 04/14/2022 Call \$4.00 Estimated Yield: 0.00%	MMAT	Margin	5	\$0.14	\$70.00	\$0.00	0.25%
TWTR 03/18/2022 Call \$60.00 Estimated Yield: 0.00%	TWTR	Margin	1	\$0.02	\$2.00	\$0.00	0.01%
<b>Total Securities</b>					<b>\$12,154.89</b>	<b>\$0.00</b>	<b>44.20%</b>
<b>Brokerage Cash Balance</b>					<b>\$15,345.34</b>		<b>55.80%</b>
<b>Total Priced Portfolio</b>					<b>\$27,500.23</b>		

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
MMAT 02/18/2022 Call \$3.00	MMAT	Margin	STO	01/31/2022	4	\$0.04		\$15.98
Price Correction Credits		Margin	MISC	02/02/2022				\$0.01
Price Correction Credits		Margin	MISC	02/02/2022				\$0.01
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	02/07/2022	135.152485	\$14.80	\$2,000.00	
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	02/07/2022	0.26629	\$13.42		\$3.57
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	02/07/2022	157	\$13.40		\$2,103.51
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	02/08/2022	0.152485	\$14.82		\$2.26
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	02/08/2022	135	\$14.81		\$1,999.45
Gold Fee		Margin	GOLD	02/10/2022			\$5.00	
Marin Software CUSIP: 56804T205	MRIN	Margin	Buy	02/09/2022	0.802395	\$3.34	\$2.68	
Marin Software CUSIP: 56804T205	MRIN	Margin	Buy	02/09/2022	596.214925	\$3.35	\$1,997.32	
IronNet CUSIP: 46323Q105	IRNT	Margin	Buy	02/10/2022	0.077936	\$4.56	\$0.35	
IronNet CUSIP: 46323Q105	IRNT	Margin	Buy	02/10/2022	263	\$4.57	\$1,201.15	
IronNet CUSIP: 46323Q105	IRNT	Margin	Buy	02/10/2022	174.837424	\$4.57	\$798.50	
IronNet CUSIP: 46323Q105	IRNT	Margin	Sell	02/10/2022	437.91536	\$4.76		\$2,085.02
Marin Software CUSIP: 56804T205	MRIN	Margin	Sell	02/10/2022	25	\$3.30		\$82.50



**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Marin Software CUSIP: 56804T205	MRIN	Margin	Sell	02/10/2022	4	\$3.30		\$13.20
Marin Software CUSIP: 56804T205	MRIN	Margin	Sell	02/10/2022	368	\$3.30		\$1,214.35
Marin Software CUSIP: 56804T205	MRIN	Margin	Sell	02/10/2022	29	\$3.30		\$95.70
Marin Software CUSIP: 56804T205	MRIN	Margin	Sell	02/10/2022	62	\$3.29		\$203.97
Marin Software CUSIP: 56804T205	MRIN	Margin	Sell	02/10/2022	109	\$3.29		\$358.60
BENE 02/18/2022 Call \$10.00	BENE	Margin	STC	02/18/2022	5	\$0.22		\$109.98
Option Expiration for BKKT 2022-02-18 call \$10.00		Margin	OEXP	02/18/2022	15			
Option Expiration for MMAT 2022-02-18 call \$3.00		Margin	OEXP	02/18/2022	4			
UPST 02/25/2022 Put \$120.00	UPST	Margin	BTO	02/22/2022	1	\$3.65	\$365.00	
UPST 02/25/2022 Put \$120.00	UPST	Margin	STC	02/22/2022	1	\$3.45		\$344.98
<b>Total Funds Paid and Received</b>							<b>\$6,370.00</b>	<b>\$8,633.09</b>

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
PG 06/17/2022 Call \$165.00	Margin	BTO	02/28/2022	03/01/2022	1	\$3.30	\$330.00	
Volcon CUSIP: 92864V103	Margin	Buy	02/28/2022	03/02/2022	0.183574	\$2.07	\$0.38	
Volcon CUSIP: 92864V103	Margin	Buy	02/28/2022	03/02/2022	962.512635	\$2.08	\$1,999.62	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	980.392156	\$2.04	\$2,000.00	
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	3,880.707224	\$2.07		\$8,034.88
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	0.046004	\$2.06	\$0.09	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	1,937	\$2.06	\$3,999.91	
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	100	\$2.03		\$202.99
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	400	\$2.04		\$813.94
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	300	\$2.04		\$610.45
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	100	\$2.04		\$203.49
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	100	\$2.04		\$203.49
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	500	\$2.04		\$1,017.43
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	400	\$2.04		\$813.94
Meta Materials CUSIP: 59134N104	Margin	Sell	02/28/2022	03/02/2022	37	\$2.04		\$75.30

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	0.783132	\$2.08	\$1.62	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	100	\$2.08	\$208.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	100	\$2.08	\$208.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	100	\$2.08	\$208.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	200	\$2.08	\$416.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	100	\$2.08	\$208.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	21,528846	\$2.08	\$44.78	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	100	\$2.08	\$208.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	300	\$2.08	\$624.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	200	\$2.08	\$416.00	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	145	\$2.08	\$301.60	
Meta Materials CUSIP: 59134N104	Margin	Buy	02/28/2022	03/02/2022	75	\$2.08	\$156.00	
<b>Total Executed Trades Pending Settlement</b>							<b>\$11,330.00</b>	<b>\$11,975.91</b>

## Important Information

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF"). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

Our financial statement is available for your personal inspection; it can also be emailed to you upon request.

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

### Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, we provide securities clearance and may provide order execution based on RHF Instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at [help@robinhood.com](mailto:help@robinhood.com). Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell Robinhood your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell Robinhood the dollar amount of the suspected error.

Robinhood will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes Robinhood to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venue. In addition, the Rule (SEC 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

### Statement of Financial Condition

Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2021 is available on the Company's website at <http://www.robinhood.com/legal>. A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2021, Robinhood Securities, LLC, had a net capital of \$2,722,363,896, which was \$2,612,355,106 in excess of its required net capital of \$110,008,790.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS.





85 Willow Rd, Menlo Park, CA 94025  
help@robinhood.com

01/01/2022 to 01/31/2022

**SHANE COLBERT** Account #:835143926

300 Red Wing Avenue South 111, Red Wing, MN 55066

■ Options

■ Equities

■ Cash and Cash Equivalents

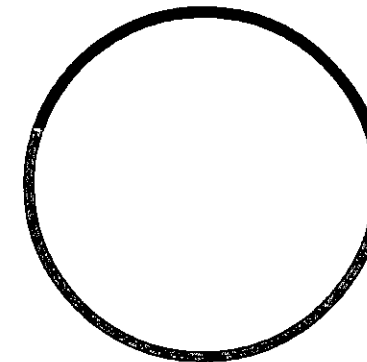
### Account Summary

	Opening Balance	Closing Balance
Net Account Balance	\$0.29	\$13,082.25
Total Securities	\$25,985.92	\$10,707.86
<b>Portfolio Value</b>	<b>\$25,986.21</b>	<b>\$23,790.11</b>

### Income and Expense Summary

	This Period	Year to Date
Dividends	\$0.00	\$0.00
Capital Gains Distributions	\$0.00	\$0.00
Interest Earned	\$0.00	\$0.00

### Portfolio Allocation



● Cash and Cash  
Equivalents  
54.99%

■ Equities  
44.39%

● Options  
0.62%

This statement shall be conclusive if not objected to in writing within ten days (except with respect to debit card transactions). Errors and omissions exempted. Please address all communications to the firm and not to the individuals. Address changes or other material changes in your account should be directed to the office servicing your account. Kindly mention your account number. This statement should be retained for income tax purposes.

**Portfolio Summary**

Securities Held in Account	Sym/Cusip	Acct Type	Qty	Price	Mkt Value	Est. Dividend Yield	% of Total Portfolio
IonQ Estimated Yield: 0.00%	IONQ	Margin	157,26629	\$11.44	\$1,799.13	\$0.00	7.56%
Meta Materials Estimated Yield: 0.00%	MMAT	Margin	2,900.315068	\$1.67	\$4,843.53	\$0.00	20.36%
MMAT Preferred Shares Estimated Yield: 0.00%	MMTLP	Margin	3,014	\$1.30	\$3,918.20	\$0.00	16.47%
BENE 02/18/2022 Call \$10.00 Estimated Yield: 0.00%	BENE	Margin	5	\$0.18	\$90.00	\$0.00	0.38%
BKKT 02/18/2022 Call \$10.00 Estimated Yield: 0.00%	BKKT	Margin	1	\$0.05	\$5.00	\$0.00	0.02%
MMAT 04/14/2022 Call \$4.00 Estimated Yield: 0.00%	MMAT	Margin	5	\$0.09	\$45.00	\$0.00	0.19%
TWTR 03/18/2022 Call \$60.00 Estimated Yield: 0.00%	TWTR	Margin	1	\$0.07	\$7.00	\$0.00	0.03%
<b>Total Securities</b>					<b>\$10,707.86</b>	<b>\$0.00</b>	<b>45.01%</b>
<b>Brokerage Cash Balance</b>					<b>\$13,082.25</b>		<b>54.99%</b>
<b>Total Priced Portfolio</b>					<b>\$23,790.11</b>		

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
AFRM 12/31/2021 Call \$106.00	AFRM	Margin	BTO	12/31/2021	1	\$1.13	\$113.00	
AFRM 12/31/2021 Call \$106.00	AFRM	Margin	STC	12/31/2021	1	\$0.80		\$79.98
AirNet Technology CUSIP: 00941Q104	ANTE	Margin	Buy	12/30/2021	0.051446	\$1.56	\$0.08	
AirNet Technology CUSIP: 00941Q104	ANTE	Margin	Buy	12/30/2021	733.667321	\$1.58	\$1,156.92	
Angion Biomedica CUSIP: 03476J107	ANGN	Margin	Buy	12/30/2021	480.751654	\$2.74	\$1,315.00	
Angion Biomedica CUSIP: 03476J107	ANGN	Margin	Sell	12/30/2021	480.751654	\$2.73		\$1,313.06
CorEnergy Infrastructure Trust CUSIP: 21870U502	CORR	Margin	Buy	12/30/2021	376.26991	\$3.19	\$1,200.00	
CorEnergy Infrastructure Trust CUSIP: 21870U502	CORR	Margin	Sell	12/30/2021	376	\$3.15		\$1,184.35
CorEnergy Infrastructure Trust CUSIP: 21870U502	CORR	Margin	Sell	12/30/2021	0.26991	\$3.14		\$0.85
ECMOHO CUSIP: 27888P104	MOHO	Margin	Buy	12/30/2021	51	\$0.43	\$21.93	
ECMOHO CUSIP: 27888P104	MOHO	Margin	Buy	12/30/2021	2,811	\$0.43	\$1,215.76	
ECMOHO CUSIP: 27888P104	MOHO	Margin	Sell	12/30/2021	2,862	\$0.42		\$1,201.69
Future FinTech CUSIP: 36117V105	FTFT	Margin	Buy	12/30/2021	696	\$1.49	\$1,037.04	
Future FinTech CUSIP: 36117V105	FTFT	Margin	Buy	12/30/2021	196.617449	\$1.49	\$292.96	
Future FinTech CUSIP: 36117V105	FTFT	Margin	Buy	12/30/2021	0.377049	\$1.52	\$0.57	

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Future FinTech CUSIP: 36117V105	FTFT	Margin	Buy	12/30/2021	834.813603	\$1.53	\$1,276.43	
Future FinTech CUSIP: 36117V105	FTFT	Margin	Buy	12/30/2021	790.174514	\$1.52	\$1,199.88	
Future FinTech CUSIP: 36117V105	FTFT	Margin	Buy	12/30/2021	0.079207	\$1.52	\$0.12	
Future FinTech CUSIP: 36117V105	FTFT	Margin	Sell	12/30/2021	0.617449	\$1.48		\$0.91
Future FinTech CUSIP: 36117V105	FTFT	Margin	Sell	12/30/2021	892	\$1.47		\$1,311.12
Future FinTech CUSIP: 36117V105	FTFT	Margin	Sell	12/30/2021	100	\$1.50		\$149.99
Future FinTech CUSIP: 36117V105	FTFT	Margin	Sell	12/30/2021	790	\$1.52		\$1,200.70
Future FinTech CUSIP: 36117V105	FTFT	Margin	Sell	12/30/2021	500	\$1.50		\$749.93
Future FinTech CUSIP: 36117V105	FTFT	Margin	Sell	12/30/2021	235	\$1.50		\$352.47
Future FinTech CUSIP: 36117V105	FTFT	Margin	Sell	12/30/2021	0.444373	\$1.52		\$0.68
Integrated Media Technology CUSIP: Q49376108	IMTE	Margin	Buy	12/30/2021	1.166805	\$6.00	\$6.99	
Integrated Media Technology CUSIP: Q49376108	IMTE	Margin	Buy	12/30/2021	198.881405	\$6.00	\$1,193.01	
Integrated Media Technology CUSIP: Q49376108	IMTE	Margin	Buy	12/30/2021	0.388429	\$6.05	\$2.35	
Integrated Media Technology CUSIP: Q49376108	IMTE	Margin	Buy	12/30/2021	192.684697	\$6.06	\$1,167.65	
Integrated Media Technology CUSIP: Q49376108	IMTE	Margin	Sell	12/30/2021	0.04821	\$5.90		\$0.28



## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Integrated Media Technology CUSIP: Q49376108	IMTE	Margin	Sell	12/30/2021	200	\$5.88		\$1,176.79
Integrated Media Technology CUSIP: Q49376108	IMTE	Margin	Sell	12/30/2021	193	\$5.98		\$1,154.11
Medallion Financial CUSIP: 583928106	MFIN	Margin	Buy	12/30/2021	188.834958	\$7.15	\$1,350.00	
Medallion Financial CUSIP: 583928106	MFIN	Margin	Sell	12/30/2021	188.834958	\$7.04		\$1,329.37
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Buy	12/30/2021	386.032072	\$3.40	\$1,311.93	
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Buy	12/30/2021	0.020648	\$3.39	\$0.07	
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Buy	12/30/2021	0.618364	\$3.48	\$2.15	
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Buy	12/30/2021	376.461318	\$3.49	\$1,313.85	
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Sell	12/30/2021	0.145236	\$3.24		\$0.47
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Sell	12/30/2021	418	\$3.23		\$1,350.08
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Sell	12/30/2021	0.05272	\$3.42		\$0.18
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Sell	12/30/2021	0.079682	\$3.53		\$0.28
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Sell	12/30/2021	377	\$3.51		\$1,323.22
Nxt-ID CUSIP: 67091J404	NXTD	Margin	Sell	12/30/2021	386	\$3.41		\$1,316.47
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Buy	12/30/2021	0.534385	\$4.44	\$2.37	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Buy	12/30/2021	0.171995	\$4.54	\$0.78	
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Buy	12/30/2021	0.110294	\$4.53	\$0.50	
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Buy	12/30/2021	292	\$4.53	\$1,323.72	
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Buy	12/30/2021	297.76501	\$4.44	\$1,321.63	
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Sell	12/30/2021	298.299395	\$4.44		\$1,324.70
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Sell	12/30/2021	0.282289	\$4.50		\$1.27
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Sell	12/30/2021	292	\$4.50		\$1,314.16
Pasithea Therapeutics CUSIP: 70261F103	KTTA	Margin	Buy	12/30/2021	12.697038	\$2.20	\$27.87	
Pasithea Therapeutics CUSIP: 70261F103	KTTA	Margin	Buy	12/30/2021	532.786363	\$2.20	\$1,172.13	
Pasithea Therapeutics CUSIP: 70261F103	KTTA	Margin	Sell	12/30/2021	0.483401	\$2.13		\$1.03
Pasithea Therapeutics CUSIP: 70261F103	KTTA	Margin	Sell	12/30/2021	545	\$2.12		\$1,155.33
AirNet Technology CUSIP: 00941Q104	ANTE	Margin	Sell	12/31/2021	733.718767	\$1.53		\$1,123.52
COIN 01/07/2022 Put \$250.00	COIN	Margin	BTO	01/03/2022	1	\$5.40	\$540.00	
COIN 01/07/2022 Put \$250.00	COIN	Margin	STC	01/03/2022	1	\$4.50		\$449.98
Integrated Media Technology CUSIP: G49376108	IMTE	Margin	Sell	12/31/2021	0.073126	\$4.54		\$0.33

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
MSFT 01/07/2022 Call \$332.50	MSFT	Margin	BTO	01/03/2022	1	\$3.90	\$390.00	
Medalist Diversified REIT CUSIP: 58403P105	MDRR	Margin	Buy	12/31/2021	680	\$1.40	\$951.39	
Medalist Diversified REIT CUSIP: 58403P105	MDRR	Margin	Sell	12/31/2021	680	\$1.32		\$898.26
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Buy	12/31/2021	0.376195	\$4.70	\$1.77	
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Buy	12/31/2021	205.864361	\$4.71	\$969.23	
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Sell	12/31/2021	0.240556	\$4.70		\$1.13
Ocugen, Inc CUSIP: 67577C105	OCGN	Margin	Sell	12/31/2021	206	\$4.69		\$966.30
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Buy	12/31/2021	0.160583	\$3.42	\$0.55	
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Buy	12/31/2021	313.587986	\$3.43	\$1,075.45	
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Buy	12/31/2021	298.552022	\$3.35	\$1,000.00	
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Sell	12/31/2021	48	\$3.30		\$158.40
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Sell	12/31/2021	150	\$3.30		\$494.98
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Sell	12/31/2021	1	\$3.30		\$3.30
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Sell	12/31/2021	99	\$3.30		\$326.69
Pop Culture Group CUSIP: G71700101	CPOP	Margin	Sell	12/31/2021	0.300591	\$3.26		\$0.98

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Pop Culture Group CUSIP: 671700101	CPOP	Margin	Sell	12/31/2021	314	\$3.26		\$1,023.59
RBLX 01/07/2022 Call \$101.00	RBLX	Margin	BTO	01/03/2022	1	\$3.35	\$335.00	
RBLX 01/07/2022 Call \$101.00	RBLX	Margin	STC	01/03/2022	1	\$3.10		\$309.98
RBLX 01/07/2022 Put \$101.00	RBLX	Margin	BTO	01/03/2022	1	\$3.95	\$395.00	
RBLX 01/07/2022 Put \$101.00	RBLX	Margin	STC	01/03/2022	1	\$3.90		\$389.98
SPY 01/03/2022 Call \$477.00	SPY	Margin	BTO	01/03/2022	1	\$0.33	\$33.00	
SPY 01/03/2022 Call \$477.00	SPY	Margin	STC	01/03/2022	1	\$0.39		\$38.98
Summit Therapeutics CUSIP: 86627T108	SMMT	Margin	Sell	12/31/2021	0.705501	\$2.58		\$1.82
Vinco Ventures CUSIP: 927330100	BBIG	Margin	Buy	12/31/2021	0.501022	\$2.44	\$1.22	
Vinco Ventures CUSIP: 927330100	BBIG	Margin	Buy	12/31/2021	395	\$2.44	\$965.78	
AMD 01/07/2022 Call \$144.00	AMD	Margin	BTO	01/04/2022	1	\$2.80	\$280.00	
AMD 01/07/2022 Call \$144.00	AMD	Margin	STC	01/04/2022	1	\$2.39		\$238.98
AMD 01/07/2022 Call \$148.00	AMD	Margin	BTO	01/04/2022	1	\$2.53	\$253.00	
AMD 01/07/2022 Call \$148.00	AMD	Margin	STC	01/04/2022	1	\$2.05		\$204.98
Aquestive Therapeutics CUSIP: 03843E104	AQST	Margin	Buy	01/03/2022	223.255351	\$4.02	\$898.00	
Aquestive Therapeutics CUSIP: 03843E104	AQST	Margin	Sell	01/03/2022	0.255351	\$4.04		\$1.03
Aquestive Therapeutics CUSIP: 03843E104	AQST	Margin	Sell	01/03/2022	100	\$4.04		\$403.99

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Aquestive Therapeutics CUSIP: 03843E104	AQST	Margin	Sell	01/03/2022	123	\$4.03		\$495.80
Camber Energy CUSIP: 13200M508	CEI	Margin	Buy	01/03/2022	976.827481	\$0.92	\$900.00	
Camber Energy CUSIP: 13200M508	CEI	Margin	Sell	01/03/2022	976.827481	\$0.92		\$897.87
Creative Medical Technology CUSIP: 22529Y309	CELZ	Margin	Buy	01/03/2022	255	\$3.54	\$902.57	
Creative Medical Technology CUSIP: 22529Y309	CELZ	Margin	Sell	01/03/2022	255	\$3.70		\$942.19
GE 01/07/2022 Call \$100.00	GE	Margin	BTO	01/04/2022	1	\$0.61	\$61.00	
GE 01/07/2022 Call \$100.00	GE	Margin	STC	01/04/2022	1	\$0.58		\$57.98
Immix Biopharma CUSIP: 45258H106	IMMX	Margin	Buy	01/03/2022	109.734513	\$7.91	\$868.00	
Immix Biopharma CUSIP: 45258H106	IMMX	Margin	Sell	01/03/2022	0.734513	\$8.16		\$5.99
Immix Biopharma CUSIP: 45258H106	IMMX	Margin	Sell	01/03/2022	109	\$8.15		\$888.34
MSFT 01/07/2022 Call \$332.50	MSFT	Margin	STC	01/04/2022	1	\$3.70		\$369.98
Nuverra Environmental Solutions CUSIP: 67091K302	NES	Margin	Buy	01/03/2022	0.235704	\$3.58	\$0.84	
Nuverra Environmental Solutions CUSIP: 67091K302	NES	Margin	Buy	01/03/2022	243	\$3.57	\$867.51	
Nuverra Environmental Solutions CUSIP: 67091K302	NES	Margin	Buy	01/03/2022	0.022408	\$3.57	\$0.08	
Nuverra Environmental Solutions CUSIP: 67091K302	NES	Margin	Buy	01/03/2022	1	\$3.57	\$3.57	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Nuverra Environmental Solutions CUSIP: 67091K302	NES	Margin	Sell	01/03/2022	244,258112	\$3.55		\$868.00
SPY 01/05/2022 Put \$478.00	SPY	Margin	BTO	01/04/2022	2	\$1.16	\$232.00	
SPY 01/05/2022 Put \$478.00	SPY	Margin	STC	01/04/2022	2	\$1.29		\$257.98
TWTR 01/07/2022 Put \$41.00	TWTR	Margin	BTO	01/04/2022	2	\$0.49	\$98.00	
TWTR 01/07/2022 Put \$41.00	TWTR	Margin	STC	01/04/2022	1	\$0.53		\$52.98
TWTR 01/07/2022 Put \$41.00	TWTR	Margin	STC	01/04/2022	1	\$0.54		\$53.98
V 01/07/2022 Call \$227.50	V	Margin	BTO	01/04/2022	1	\$1.43	\$143.00	
V 01/07/2022 Call \$227.50	V	Margin	STC	01/04/2022	1	\$1.27		\$126.98
Vinco Ventures CUSIP: 927330100	BBIG	Margin	Sell	01/03/2022	100	\$2.40		\$239.99
Vinco Ventures CUSIP: 927330100	BBIG	Margin	Sell	01/03/2022	295	\$2.40		\$707.95
Vinco Ventures CUSIP: 927330100	BBIG	Margin	Sell	01/03/2022	0.501022	\$2.54		\$1.27
AMC 01/07/2022 Call \$31.00	AMC	Margin	BTO	01/05/2022	2	\$0.06	\$12.00	
AMC 01/07/2022 Call \$31.00	AMC	Margin	BTO	01/05/2022	1	\$0.06	\$6.00	
AMC 01/07/2022 Call \$31.00	AMC	Margin	BTO	01/05/2022	1	\$0.06	\$6.00	
AMC 01/07/2022 Call \$31.00	AMC	Margin	BTO	01/05/2022	1	\$0.06	\$6.00	
AMC 01/07/2022 Call \$31.00	AMC	Margin	STC	01/05/2022	5	\$0.08		\$39.98
Acasti Pharma CUSIP: 00430K873	ACST	Margin	Buy	01/04/2022	536.49635	\$1.37	\$735.00	
Acasti Pharma CUSIP: 00430K873	ACST	Margin	Sell	01/04/2022	536	\$1.37		\$735.05



## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Acasti Pharma CUSIP: 00430K873	ACST	Margin	Sell	01/04/2022	0.49635	\$1.38		\$0.68
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Buy	01/04/2022	100	\$1.25	\$125.00	
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Buy	01/04/2022	100	\$1.25	\$125.00	
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Buy	01/04/2022	100	\$1.25	\$125.00	
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Buy	01/04/2022	100	\$1.25	\$125.00	
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Buy	01/04/2022	218	\$1.25	\$271.63	
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Sell	01/04/2022	100	\$1.21		\$120.99
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Sell	01/04/2022	104	\$1.21		\$125.83
AnPac Bio-Medical Science CUSIP: 03635R107	ANPC	Margin	Sell	01/04/2022	414	\$1.21		\$500.88
Arcadia Biosciences CUSIP: 039014204	RKDA	Margin	Buy	01/04/2022	446	\$1.36	\$606.47	
Arcadia Biosciences CUSIP: 039014204	RKDA	Margin	Buy	01/04/2022	164.360294	\$1.36	\$223.53	
Arcadia Biosciences CUSIP: 039014204	RKDA	Margin	Sell	01/04/2022	0.360294	\$1.40		\$0.50
Arcadia Biosciences CUSIP: 039014204	RKDA	Margin	Sell	01/04/2022	610	\$1.38		\$841.72
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/04/2022	0.27956	\$16.36	\$4.57	
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/04/2022	5	\$16.37	\$81.85	

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/04/2022	39.974351	\$16.38	\$654.58	
Metacrine CUSIP: 59101E103	MTCR	Margin	Buy	01/04/2022	1,023	\$0.68	\$700.65	
Metacrine CUSIP: 59101E103	MTCR	Margin	Sell	01/04/2022	1,023	\$0.69		\$705.74
NeuroBo Pharmaceuticals, Inc CUSIP: 64132R107	NRBO	Margin	Buy	01/04/2022	516.835413	\$1.70	\$878.00	
NeuroBo Pharmaceuticals, Inc CUSIP: 64132R107	NRBO	Margin	Sell	01/04/2022	516.835413	\$1.60		\$829.45
Unity Biotechnology CUSIP: 91381U101	UBX	Margin	Buy	01/04/2022	0.139841	\$1.90	\$0.26	
Unity Biotechnology CUSIP: 91381U101	UBX	Margin	Buy	01/04/2022	393	\$1.90	\$744.74	
Unity Biotechnology CUSIP: 91381U101	UBX	Margin	Sell	01/04/2022	0.139841	\$1.88		\$0.26
Unity Biotechnology CUSIP: 91381U101	UBX	Margin	Sell	01/04/2022	393	\$1.87		\$734.89
BTCS CUSIP: 05581M404	BTCS	Margin	Buy	01/05/2022	78.569711	\$4.16	\$326.85	
BTCS CUSIP: 05581M404	BTCS	Margin	Buy	01/05/2022	0.036144	\$4.15	\$0.15	
BTCS CUSIP: 05581M404	BTCS	Margin	Buy	01/05/2022	100	\$4.16	\$416.00	
BTCS CUSIP: 05581M404	BTCS	Margin	Buy	01/05/2022	180	\$3.85	\$693.00	
BTCS CUSIP: 05581M404	BTCS	Margin	Sell	01/05/2022	10	\$4.05		\$40.50
BTCS CUSIP: 05581M404	BTCS	Margin	Sell	01/05/2022	170	\$3.99		\$678.27

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
BTCS CUSIP: 05581M404	BTCS	Margin	Sell	01/05/2022	178.605855	\$3.88		\$693.28
Immuron CUSIP: 45254U101	IMRN	Margin	Buy	01/05/2022	100	\$3.21	\$320.95	
Immuron CUSIP: 45254U101	IMRN	Margin	Buy	01/05/2022	100	\$3.21	\$320.95	
Immuron CUSIP: 45254U101	IMRN	Margin	Buy	01/05/2022	14	\$3.21	\$44.93	
Immuron CUSIP: 45254U101	IMRN	Margin	Buy	01/05/2022	220	\$3.08	\$677.82	
Immuron CUSIP: 45254U101	IMRN	Margin	Sell	01/05/2022	214	\$3.20		\$684.76
Immuron CUSIP: 45254U101	IMRN	Margin	Sell	01/05/2022	220	\$3.12		\$686.40
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/05/2022	0.191703	\$15.91	\$3.05	
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/05/2022	44.974558	\$15.92	\$715.95	
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/05/2022	0.606299	\$15.88	\$9.62	
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/05/2022	44.980481	\$15.88	\$714.38	
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	2	\$16.44		\$32.88
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	1	\$16.43		\$16.43
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	8	\$16.43		\$131.44
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	1	\$16.43		\$16.43

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	1	\$16.42		\$16.42
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	1	\$16.42		\$16.42
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	5	\$16.42		\$82.10
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	1	\$16.42		\$16.42
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	25	\$16.42		\$410.50
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	0.253911	\$16.17		\$4.11
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	4	\$15.88		\$63.52
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	41	\$15.88		\$651.07
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	0.166261	\$15.84		\$2.63
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/05/2022	45.58678	\$15.82		\$721.29
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/05/2022	8,000.960981	\$2.55		\$20,434.99
ProShares Ultra VIX Short-Term Futures ETF CUSIP: 74347Y839	UVXY	Margin	Buy	01/05/2022	55.733345	\$12.94	\$721.00	
ProShares Ultra VIX Short-Term Futures ETF CUSIP: 74347Y839	UVXY	Margin	Sell	01/05/2022	55.733345	\$12.89		\$718.56
ADR Fee: R/D 2021-12-03 P/D 2022-01-10 - 419.161676 shares at 0.02	WKEY	Margin	AFEE	01/10/2022			\$8.38	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	01/06/2022	883.587364	\$22.64	\$20,000.00	

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	01/06/2022	0.082038	\$22.86	\$1.87	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	01/06/2022	875	\$22.86	\$19,998.13	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/06/2022	10	\$22.50		\$225.00
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/06/2022	20	\$22.50		\$450.00
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/06/2022	80	\$22.50		\$1,799.98
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/06/2022	50	\$22.50		\$1,124.99
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/06/2022	50	\$22.50		\$1,124.99
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/06/2022	50	\$22.50		\$1,124.99
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/06/2022	623.587364	\$22.50		\$14,031.19
Etsy CUSIP: 29786A106	ETSY	Margin	Buy	01/06/2022	0.257783	\$193.69	\$49.93	
Etsy CUSIP: 29786A106	ETSY	Margin	Buy	01/06/2022	100	\$193.78	\$19,378.00	
Etsy CUSIP: 29786A106	ETSY	Margin	Buy	01/06/2022	2.952162	\$193.78	\$572.07	
Etsy CUSIP: 29786A106	ETSY	Margin	Sell	01/06/2022	0.209945	\$193.58		\$40.64
Etsy CUSIP: 29786A106	ETSY	Margin	Sell	01/06/2022	103	\$193.58		\$19,939.14
IonQ CUSIP: 48222L108	IONQ	Margin	Buy	01/06/2022	0.466822	\$15.00	\$7.00	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/06/2022	1,399.682632	\$15.00	\$20,993.00	
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/06/2022	0.020066	\$14.95	\$0.30	
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/06/2022	25.985573	\$14.96	\$388.70	
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/06/2022	0.149454	\$14.92		\$2.23
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/06/2022	1,400	\$14.91		\$20,878.20
Meta Materials CUSIP: 59134N104	MMAT	Margin	Buy	01/06/2022	7,753.74118	\$2.58	\$20,000.00	
Meta Materials CUSIP: 59134N104	MMAT	Margin	Buy	01/06/2022	7,843.137254	\$2.55	\$20,000.00	
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/06/2022	0.74118	\$2.54		\$1.89
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/06/2022	7,753	\$2.54		\$19,691.59
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/06/2022	0.137254	\$2.52		\$0.35
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/06/2022	7,843	\$2.52		\$19,793.12
NRX Pharmaceuticals CUSIP: 629444100	NRXP	Margin	Buy	01/06/2022	0.557114	\$4.99	\$2.78	
NRX Pharmaceuticals CUSIP: 629444100	NRXP	Margin	Buy	01/06/2022	77.861129	\$5.00	\$389.22	
NRX Pharmaceuticals CUSIP: 629444100	NRXP	Margin	Sell	01/06/2022	0.418243	\$4.96		\$2.08
NRX Pharmaceuticals CUSIP: 629444100	NRXP	Margin	Sell	01/06/2022	78	\$4.96		\$387.26



**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	01/07/2022	862.515723	\$23.83	\$20,553.75	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	01/07/2022	0.723877	\$23.83	\$17.25	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/07/2022	20	\$24.05		\$481.00
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/07/2022	843	\$24.05		\$20,273.94
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/07/2022	875	\$23.51		\$20,571.04
Aggregated Margin Interest 2.5% APR		Margin	MINT	01/11/2022			\$2.55	
GameStop CUSIP: 36467W109	GME	Margin	Buy	01/07/2022	141.955724	\$140.89	\$20,000.00	
GameStop CUSIP: 36467W109	GME	Margin	Sell	01/07/2022	141	\$140.05		\$19,746.92
Gold Fee		Margin	GOLD	01/11/2022			\$5.00	
MMAT 01/21/2022 Call \$3.00	MMAT	Margin	STO	01/10/2022	3	\$0.09		\$26.98
MMAT 01/21/2022 Call \$3.00	MMAT	Margin	STO	01/10/2022	1	\$0.09		\$8.98
MMAT 01/21/2022 Call \$3.00	MMAT	Margin	STO	01/10/2022	5	\$0.09		\$44.98
MMAT 01/21/2022 Call \$3.00	MMAT	Margin	STO	01/10/2022	5	\$0.09		\$44.98
MMAT 01/21/2022 Call \$3.00	MMAT	Margin	STO	01/10/2022	15	\$0.09		\$134.96
TTWO 01/14/2022 Call \$145.00	TTWO	Margin	BTO	01/10/2022	1	\$1.35	\$135.00	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/10/2022	0.321638	\$21.88		\$7.04
AMD 01/14/2022 Call \$136.00	AMD	Margin	BTO	01/11/2022	1	\$2.83	\$283.00	

## Account Activity

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
AMD 01/14/2022 Call \$136.00	AMD	Margin	STC	01/11/2022	1	\$3.25		\$324.98
GameStop CUSIP: 36467W109	GME	Margin	Sell	01/10/2022	0.955724	\$131.82		\$125.98
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/10/2022	0.005639	\$13.52		\$0.08
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/10/2022	26	\$13.52		\$351.54
Meta Materials CUSIP: 59134N104	MMAT	Margin	Buy	01/10/2022	0.022075	\$2.26	\$0.05	
Meta Materials CUSIP: 59134N104	MMAT	Margin	Buy	01/10/2022	8,812.880056	\$2.27	\$19,999.95	
TTWO 01/14/2022 Call \$145.00	TTWO	Margin	STC	01/11/2022	1	\$2.35		\$234.98
LCID 01/14/2022 Call \$48.00	LCID	Margin	BTO	01/12/2022	1	\$1.24	\$124.00	
LCID 01/14/2022 Call \$48.00	LCID	Margin	STC	01/12/2022	1	\$1.22		\$121.98
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/11/2022	0.902131	\$2.34		\$2.12
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/11/2022	5,912	\$2.34		\$13,839.21
RBLX 01/14/2022 Call \$90.00	RBLX	Margin	BTO	01/12/2022	1	\$1.68	\$168.00	
RBLX 01/14/2022 Call \$90.00	RBLX	Margin	STC	01/12/2022	1	\$1.60		\$159.98
Bit Brother CUSIP: G1144D109	BTB	Margin	Buy	01/12/2022	3,927	\$0.48	\$1,893.60	
Bit Brother CUSIP: G1144D109	BTB	Margin	Sell	01/12/2022	3,927	\$0.51		\$2,001.89
LCID 01/28/2022 Put \$40.00	LCID	Margin	BTO	01/13/2022	1	\$2.51	\$251.00	
LCID 01/28/2022 Put \$40.00	LCID	Margin	STC	01/13/2022	1	\$2.62		\$261.98

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
MSFT 01/14/2022 Put \$315.00	MSFT	Margin	BTO	01/13/2022	1	\$1.29	\$129.00	
MSFT 01/14/2022 Put \$315.00	MSFT	Margin	STC	01/13/2022	1	\$1.27		\$126.98
Lion Group CUSIP: 53620U102	LGHL	Margin	Buy	01/13/2022	1,160	\$1.36	\$1,577.60	
Lion Group CUSIP: 53620U102	LGHL	Margin	Buy	01/13/2022	299.854014	\$1.36	\$407.80	
Lion Group CUSIP: 53620U102	LGHL	Margin	Buy	01/13/2022	0.735294	\$1.36	\$1.00	
Lion Group CUSIP: 53620U102	LGHL	Margin	Buy	01/13/2022	10	\$1.36	\$13.60	
Lion Group CUSIP: 53620U102	LGHL	Margin	Sell	01/13/2022	1,470.589308	\$1.40		\$2,058.63
Meta Materials CUSIP: 59134N104	MMAT	Margin	Buy	01/13/2022	6,849.315068	\$2.19	\$15,000.00	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	01/14/2022	0.254364	\$20.05	\$5.10	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Buy	01/14/2022	697.652043	\$20.06	\$13,994.90	
AMC Entertainment CUSIP: 00165C104	AMC	Margin	Sell	01/14/2022	697.906407	\$20.09		\$14,021.48
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/14/2022	1,088.071626	\$12.87	\$14,000.00	
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/14/2022	0.071626	\$12.99		\$0.93
IonQ CUSIP: 46222L108	IONQ	Margin	Sell	01/14/2022	1,088	\$12.98		\$14,125.51
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/14/2022	3.571	\$2.11		\$7,534.35

**Account Activity**

Description	Symbol	Acct Type	Transaction	Date	Qty	Price	Debit	Credit
Meta Materials CUSIP: 59134N104	MMAT	Margin	Sell	01/14/2022	3,278	\$2.11		\$6,916.15
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/18/2022	0.356412	\$12.71	\$4.53	
IonQ CUSIP: 46222L108	IONQ	Margin	Buy	01/18/2022	156.909878	\$12.72	\$1,995.47	
Option Expiration for MMAT 2022-01-21 call \$3.00		Margin	OEXP	01/21/2022	29			
Regis CUSIP: 758932107	RGS	Margin	Buy	01/20/2022	0.213058	\$1.46	\$0.31	
Regis CUSIP: 758932107	RGS	Margin	Buy	01/20/2022	200	\$1.46	\$292.00	
Regis CUSIP: 758932107	RGS	Margin	Buy	01/20/2022	1,118	\$1.46	\$1,632.28	
Regis CUSIP: 758932107	RGS	Margin	Buy	01/20/2022	200	\$1.46	\$292.00	
Regis CUSIP: 758932107	RGS	Margin	Buy	01/20/2022	94.116438	\$1.46	\$137.41	
Regis CUSIP: 758932107	RGS	Margin	Buy	01/20/2022	100	\$1.46	\$146.00	
Regis CUSIP: 758932107	RGS	Margin	Sell	01/20/2022	1,712.329496	\$1.52		\$2,602.52
<b>Total Funds Paid and Received</b>							<b>\$276,440.11</b>	<b>\$289,522.07</b>

**Executed Trades Pending Settlement**

These transactions may not be reflected in the other summaries

Description	Acct Type	Transaction	Trade Date	Settle Date	Qty	Price	Debit	Credit
MMAT 02/18/2022 Call \$3.00	Margin	STO	01/31/2022	02/01/2022	4	\$0.04		\$15.98
<b>Total Executed Trades Pending Settlement</b>							<b>\$0.00</b>	<b>\$15.98</b>

## Important Information

Robinhood Securities, LLC ("RHS") carries your account as the clearing broker by arrangement with your introducing broker-dealer, Robinhood Financial LLC ("RHF"). If this is a margin account and we maintain a special miscellaneous account for you, this is a combined statement of your general account and special miscellaneous account maintained for you under Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the special miscellaneous account as required by Regulation T is available for your inspection at your request.

The per annum rate of interest charged on debit balances in your account is shown on this statement. This rate may change from time to time in accordance with fluctuations in interest rates. Interest is computed from the 1st day of the month to the last day of the month. The interest is based on the average daily balance in your account with us, and for the actual number of days based on an interest year of 360 days. When calculating margin interest, free credit balances in all accounts will be offset against any debit in the margin account and the interest will be charged on the new debit balance.

We are required to report to the Internal Revenue Service all cash dividends and interest credited to your account on securities held for you in our name. All dividends and interest credits should be included in your income tax return.

Information relative to fees and any other charges incurred in connection with listed option transactions occurring during the month has previously been furnished to you in confirmation of such transactions. A summary of the information will be made available to you promptly upon request. Exercise assignment notices for option contracts are allocated among customer short positions pursuant to a manual procedure which randomly selects from amongst all customer short option positions including those contracts which are subject to exercise. All short American style option positions are liable for assignment at any time whereas European style options are assigned at expiration. A more detailed description of our random allocation procedure is available upon request.

You are to promptly advise Robinhood of any material changes concerning your investment objectives or financial situation by updating your information using the Robinhood platform or by contacting [help@robinhood.com](mailto:help@robinhood.com).

Our financial statement is available for your personal inspection; it can also be emailed to you upon request.

RHS is a Member of SIPC, which protects securities customers of its members up to \$500,000 (including \$250,000 for claims for cash). Explanatory brochure available upon request or at [www.sipc.org](http://www.sipc.org).

Any free credit balances represent funds payable upon demand which, although properly accounted for on our books and records, is not segregated, and may be used in the conduct of this firm's business as permissible under the SEC Rule 15c3-2.

### Notice to Customers

RHS acts as clearing agent for your trades. Your account, which was introduced to us by RHF, is established under your name on a "fully disclosed" basis at RHS. You remain a customer of RHF.

As required, under SEC rules, both the Firm's Order Routing Report as well as information regarding specific order routing information is available free of charge upon request.

As a clearing agent, we provide securities clearance and may provide order execution based on RHF Instructions. RHS will not be involved with or have any responsibility for decisions regarding securities transactions in your account. RHF will be responsible for opening, approving and monitoring all activities in connection with your account. The entry of orders and any instructions regarding the deposit or withdrawal of securities or monies should be made through RHF.

In addition to the above mentioned services, RHS will provide cashing services, safeguarding of funds and securities while in our possession, monitoring compliance with applicable credit Regulation T and RHS internal policies, preparing and making accessible your account records (including transaction confirmations and periodic statements of your account).

The dividend totals reflected in the Income and Expense Summary are inclusive of both taxable and non-taxable dividends.

Interest charges to your account may be based on the size and net debit balance during the interest period. These rates are subject to revision without notice in accordance with any changes in the broker call loan rate, as published in the Wall Street Journal. For more complete information regarding interest charges to customers, consult the RHF Fee Schedule, available at <https://rbnhd.co/fees>.

We also offer Robinhood Cash Management as an additional feature of your account. Robinhood Cash Management includes debit card access to your account and automatic sweep of uninvested cash to bank deposits. If you participate in Robinhood Cash Management, your use of the debit card, and your rights with respect to debit card transactions, will be governed by the Robinhood Debit Card Agreement, which has been provided to you and is available at <https://rbnhd.co/debit-card-agreement>.

In case of errors or questions about your electronic transfers, including your debit card transactions, or if you think your statement or receipt is wrong or if you need more information about a transaction listed on the statement or receipt, email Robinhood at [help@robinhood.com](mailto:help@robinhood.com). Robinhood must hear from you no later than sixty (60) days after you were sent the FIRST statement on which the problem or error appeared.

- A. Tell Robinhood your name and account number.
- B. Describe the error or the transfer you are unsure about, and explain as clearly as you can why you believe it is an error or why you need more information.
- C. Tell Robinhood the dollar amount of the suspected error.

Robinhood will investigate your complaint and will correct any error promptly. If we take more than ten (10) business days to do this, we will credit your account for the amount you think is in error, so that you will have the use of the money during the time it takes Robinhood to complete our investigation.

If you participate in Robinhood Cash Management, your uninvested cash is swept into accounts at FDIC-insured program banks, where your uninvested cash will earn interest from the program banks and be eligible for FDIC insurance up to applicable limits, subject to FDIC rules. Please see the Robinhood Securities, LLC & Robinhood Financial LLC Insured Network Deposit Sweep Program Disclosures, available at <https://rbnhd.co/ind-disclosure>, for the terms and conditions of this sweep program, including information regarding FDIC insurance coverage.

RHF and RHS are members of the Financial Industry Regulatory Authority, Inc. ("FINRA"), and we are required to inform you of the availability of the FINRA Investor Brochure, which contains information on FINRA BrokerCheck. You may contact FINRA at 800-289-9999 or via their website [www.finra.org](http://www.finra.org). RHS carries your account and acts as your custodian for funds and securities deposited with us directly by you, through RHF as a result of transactions we process to your account. Any suspected inaccuracy or discrepancy in your account statement must be promptly reported to RHF. In order to protect your rights, please confirm any oral communications in writing and include your brokerage account number. General inquiries or concerns regarding your account should be directed to: [help@robinhood.com](mailto:help@robinhood.com).

The SEC requires all broker-dealers that route orders in equity securities and options to make available quarterly reports that present a general overview of their routing practices. The reports must identify the significant venues to which customer orders were routed for execution during the applicable quarter and disclose the material aspects of the broker-dealers relationship with such venue. In addition, the Rule (SEC 606) requires broker-dealers to disclose, upon customer request, the venues to which the individual customer's orders were routed for the six months prior to the request, and the execution time for the orders that were executed. For further information, please contact RHF.

**Statement of Financial Condition**  
Robinhood Securities, LLC. Unaudited Statement of Financial Condition as of June 30, 2021 is available on the Company's website at <http://www.robinhood.com/legal>. A paper copy may be requested at no cost by calling 1-(800)-282-1327. On June 30, 2021, Robinhood Securities, LLC, had a net capital of \$2,722,363,896, which was \$2,612,355,106 in excess of its required net capital of \$110,008,790.

PLEASE RETAIN THIS STATEMENT AS IT WILL BE HELPFUL IN PREPARING YOUR INCOME TAX RETURNS AND MAY BE NEEDED ALONG WITH SUBSEQUENT STATEMENTS TO VERIFY INTEREST CHARGES IN YOUR ACCOUNT. THIS STATEMENT SHALL BE DEEMED CONCLUSIVE UNLESS OBJECTED TO IN WRITING WITHIN 10 BUSINESS DAYS.